



ARTISAN[®]
UNDERWRITING

No Claims/No Material Changes Declaration (ML, ASL, D&O)

Insured / Proposers Name: _____

Note: For the avoidance of doubt, Proposer includes any beneficiary covered (or seeking cover) under this insurance and, to the extent cover is sought, all past and present directors, officers, committee members, trustees, office bearers, principals, partners, employees and volunteers of the Proposer and its subsidiaries.

After full inquiry, I confirm that neither the Proposer nor any of its insured persons is aware of:

- a) any claim, demand, proceeding, complaint, allegation, threat or notice of intention to claim (formal or informal; civil, criminal, administrative or tribunal);
- b) any investigation, inquiry, examination, prosecution, inquest/coronial inquiry, show-cause process, improvement/prohibition notice, enforceable undertaking process, audit, review or enforcement action by any regulator, authority, professional body or law enforcement agency (including in respect of employment practices, discrimination, harassment, bullying, unfair dismissal, underpayment, workplace relations, WHS, corporate governance or statutory compliance); or
- c) any fact, matter, circumstance, incident or conduct that could reasonably be expected to give rise to a claim under this insurance, including (without limitation) any Employment Practices, Statutory Liability, WHS, Tax Audit or Crime/Fidelity matter, or any acts, errors or omissions.

Where any proposal form or submission was provided to Artisan Underwriting New Zealand Ltd (Artisan) unsigned or incomplete, I confirm the answers and information submitted to Artisan are adopted by the Proposer, are to be read as if signed, and may be relied upon by Artisan.

I confirm that all information provided to Artisan in connection with this insurance (whether dated/undated and signed/unsigned) is true, accurate and complete, with no material omission or misrepresentation, and is confirmed as at the date of this declaration (including matters known to any director or officer after reasonable enquiry). I will promptly notify Artisan in writing of any claim, investigation, audit, circumstance or change arising or becoming known before inception, renewal or variation. I am authorised to sign for the Proposer.

Signed: _____

Name: _____

Position/Title: _____

For and on behalf of (Proposer): _____

Date: ____ / ____ / ____